



Faculty of Philosophy General Linguistics

#### Syntax & Semantics WiSe 2022/2023 Lecture 22: Syntax & Semantics Interface

31/01/2023, Christian Bentz



#### **Overview**

Section 1: Recap of Lecture 22

Section 2: Valency in Syntax and Semantics Valency in Syntax Valency in Semantics

Filling of Arguments 0-Valence and Truth Values

Section 3: Formal Composition

Type Theory Semantic Functions Recursive Application

Section 4: Translating Syntactic into Semantic Trees

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#### Semantics Lectures

Section 1: Recap Lecture 18: Introduction to Semantics of Lecture 22 Kroeger (2019), Chapters 1-2. Section 2: and Semantics Lecture 19: Word Meaning Kroeger (2019), Chapter 5-6. Composition Section 4: Lecture 20: Propositional Logic Translating Syntactic into Kroeger (2019), Chapter 3-4. Semantic Trees Summary Zimmermann & Sternefeld (2013), Chapter 7. References Lecture 21: Predicate Logic Kroeger (2019), Chapter 4. Zimmermann & Sternefeld (2013), Chapter 10 (p. 244-258). Lecture 22: Syntax & Semantics Interface Kearns (2011), Chapter 4.

Zimmermann & Sternefeld (2013), Chapter 4.

Valency in Syntax

Section 3: Formal





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# Section 1: Recap of Lecture 22

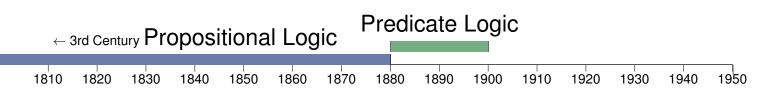




#### **Historical Perspective**

"The first formulation of **predicate logic** can be found in Frege (1879); a similar system was developed independently by Peirce (1885). Modern versions radically differ from these ancestors in notation but not in their expressive means."

Zimmermann & Sternefeld (2013), p. 244.



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"[...] fand ich ein Hindernis in der **Unzulänglichkeit der Sprache**, die bei aller entstehenden Schwerfälligkeit des Ausdruckes doch, je verwickelter die Beziehungen wurden, desto

weniger die Genauigkeit erreichen liess, welche mein Zweck verlangte. Aus diesem Bedürfnisse ging der Gedanke der vorliegenden **Begriffsschrift** hervor."

Frege (1879). Begriffsschrift: Eine der arithmetischen nachgebildete Formelsprache des reinen Denkens, p. X.

Translation: [...] I found the **inadequacy of language** to be an obstacle; no matter how unwieldy the expressions I was ready to accept, I was less and less able, as the relations became more and more complex, to attain the precision that my purpose required. This **deficiency** led me to the idea of the present **ideography**.

#### BEGRIFFSSCHRIFT,



vox

#### D<sup>a.</sup> GOTTLOB FREGE,

PRIVATBOURNTEN DER MATHEMATIK AN DER UNIVERSITÄT JENA.

HALLE <sup>A</sup>/S. VERLAG VON LOUIS NEBERT. 1879.

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### Logical Symbols

The following types of logical symbols are relevant for our analyses:

- ► Logical operators (connectives) equivalent to the ones defined in propositional logic: ¬, ∧, ∨, →, ↔
- ► The quantifier symbols: ∀ (universal quantifier), ∃ (existential quantifier)
- An infinite set of variables: x, y, z, etc.<sup>1</sup>
- Parentheses '()'<sup>2</sup>

<sup>1</sup>This set is called *Var* in Zimmermann & Sternefeld (2013), p. 244.

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<sup>&</sup>lt;sup>2</sup>Beware: In the propositional logic notation, we used parentheses '()' for disambiguating the reading of a propositional logic expression as in  $(p \rightarrow q) \land q$ . However, in the predicate logic notation, parentheses can also have the function of denoting predicates (see below).



### Logical Symbols: Quantifiers

"Standard predicate logic makes use of two quantifier symbols: the **Universal Quantifier**  $\forall$ , and the **Existential Quantifier**  $\exists$ . As the mathematical examples [below] illustrate, these quantifier symbols **must introduce a variable**, and this variable is said to be bound by the quantifier."

Kroeger (2019) Analyzing meaning, p. 69.

Examples:

For all x it is the case that x plus x equals x times two. There is some y for which y plus four equals y divided by three.

Note: Sometimes square brackets '[]' are used here to illustrate the formulation that the quantifier scopes over. However, we use regular brackets.

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Quantifier notation:

 $\forall x(x+x = 2x)$ 

 $\exists y(y+4 = y/3)$ 



#### Non-Logical Symbols: Predicates

**Predicate symbols**: these are typically given as upper case letters, and reflect relations between *n* elements, where  $n \ge 1$ , and  $n \in \mathbb{N}$  (i.e. natural numbers). These are also called **n-ary** or **n-place predicate symbols**: P(x), P(x, y), Q(x, y), etc.

Examples:

x snores

x is honest

x sees y

x gives y z

Predicate notation:  $P(x) \equiv SNORE(x)$   $Q(x) \equiv HONEST(x)$   $R(x,y) \equiv SEE(x,y)$  $S(x,y,z) \equiv GIVE(x,y,z)$  Section 1: Recap of Lecture 22

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The single upper case letter notation is used by Zimmermann & Sternefeld (2013), the all capital notation is used by Kroeger (2019). Yet another notation involving primes (e.g. snore'was used earlier in the lecture following Müller (2019). In the following we will use the notation by Kroeger.



### **Predicates and Quantifiers**

Importantly, formulating predicates which involve **quantifications** requires the usage of particular logical operators, since quantifiers require variables, and the variables then need to be further linked to predicates via logical operators.

- (1) All students are weary.  $\forall x(STUDENT(x) \rightarrow WEARY(x))$ lit. "For all x it is the case that if x is a student, then x is weary."
- Some men snore. ∃x(MAN(x) ∧ SNORE(x))
   lit. "There exists some x for which it is the case that x is a man and x snores."<sup>3</sup>
- (3) No crocodile is warm-blooded. ¬∃x(CROCODILE(x) ∧ WARM-BLOODED(x)) lit. "It is not the case that there is some x for which x is a crocodile and x is warm-blooded."

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<sup>&</sup>lt;sup>3</sup>Note that while the plural *men* suggests that we are talking about 2 or more individuals, the predicate logic formulation is valid for 1 or more individual(s).



#### **Multi-Valent Predicates and Quantifiers**

In the case of **multi-valent predicates** being combined with **quantifiers**, we typically have a combination of *variables* and *constant symbols* as arguments of the predicates. Indefinite noun phrases are typically translated using the existential quantifier.

- (4) Mary knows all the professors.  $\forall x(PROFESSOR(x) \rightarrow KNOW(m,x))$ lit. "For all x it is the case that if x is a professor, then Mary knows x."
- (5) Susan married a cowboy.  $\exists x(COWBOY(x) \land MARRY(s,x))$ lit. "For some x it is the case that x is a cowboy and Susan married x."<sup>4</sup>
- Ringo lives in a yellow submarine. ∃x(YELLOW(x) ∧ SUBMARINE(x) ∧ LIVE\_IN(r,x))
   lit. "For some x it is the case that x is yellow, and x is a submarine, and that Ringo lives in x."

<sup>4</sup>We might be tempted to drop the indefinite determiner and formulate just MARRY(s,c). However, note that "a cowboy" and "the cowboy" are different, in the sense that the former is a not further defined individual in the set of cowboys, while the latter refers to a particular individual.

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### Scope Ambiguities

"When a quantifier combines with another quantifier, with negation, or with various other elements [...], it can give rise to **ambiguities of scope**."

Kroeger (2019). Analyzing meaning, p. 72.

(7) Some man loves every woman.

i.  $\exists x(MAN(x) \land (\forall y(WOMAN(y) \rightarrow LOVE(x,y))))$ lit. "Fore some x it is the case that x is a man and (for all y it is the case that if y is a woman then x loves y)."

ii.  $\forall y(WOMAN(y) \rightarrow (\exists x(MAN(x) \land LOVE(x,y))))$ 

lit. "For all y it is the case that if y is a woman then there is an x which is a man and loves y."

(8) All that glitters is not gold.

i.  $\forall x(GLITTER(x) \rightarrow \neg GOLD(x))$ 

lit. "For all x it is the case that if x glitters then x is not gold."

ii.  $\neg \forall x(GLITTER(x) \rightarrow GOLD(x))$ 

lit. "It is not the case for all x that if x glitters then x is gold."

Note: In the first case the ambiguity is between whether the existential quantifier scopes over the universal quantifier, or the other way around. In the second example the ambiguity is whether the negation scopes over the universal quantifier or the other way around.

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#### Universal Instantiation

We can now translate the classical types of inferences (which are not covered by prepositopnal logic) into predicate logic notation. Below is a classic inference called **universal instantiation**. By using a variable x bound by the universal quantifier (Premise 1), and then specifying this variable as a constant symbol (Premise 2), we adhere to a valid pattern of inference.

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(9)	Premise 1: <i>All men are mortal.</i> Premise 2: <i>Socrates is a man.</i>	$\forall x(MAN(x) \rightarrow MORTAL(x))$ MAN(s)	
	Conclusion: Therefore, Socrates		

is mortal.

MORTAL(s)



### **Existential Generalization**

Another classic example is the so-called **existential generalization**. By asserting that two predicates are true for the same constant symbol (premise 1 and premise 2), we can generalize that there has to be a variable x for which both predicates hold.

(10) Premise 1: Arthur is a lawyer. LAWYER(a) Premise 2: Arthur is honest. HONEST(a)

> Conclusion: *Therefore*, **some** (= at least one) lawyer is honest.  $\exists x(LAWYER(x) \land HONEST(x))$

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#### Model Theory

"In order to develop and test a set of interpretive rules [...] it is important to provide very explicit descriptions for the test situations. As stated above, this kind of **description of a situation is called a model**, and must include two types of information: (i) **the domain**, i.e., the set of all individual entities in the situation; and (ii) the **denotation sets for the basic vocabulary items** [constant symbols, predicates] in the expressions being analyzed."

Kroeger (2019). Analyzing meaning, p. 240.

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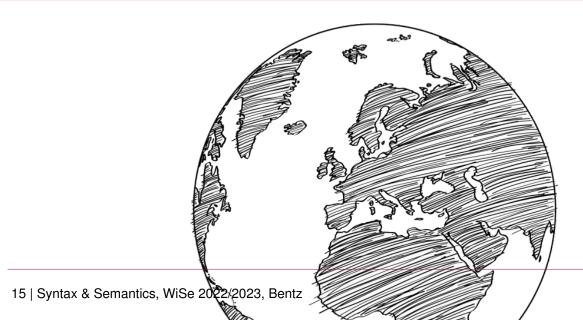
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#### **Example Denotations**

Let us further assume the **denotation sets** of three predicates and three constant symbols. These denotation sets specify which individuals of U a particular expression can possibly denote.

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#### **Example Model Evaluation**

Based on our **example model**, consisting of the example domain and the example universal set, we can now evaluate the truth values of predicate logic expressions. One-place predicates are evaluated by whether the constant symbol is a member of the denotation set of the predicate. Logical operators are evaluated the same way as in propositional logic. Quantifiers are evaluated according to subset relations.

See Kroeger (2019). Analyzing meaning, p. 241.

English sentence	logical form	interpretation	truth value
a. Thomas More is a man.	MAN(t)	Thomas More ∈[[MAN]]	Т
b. Anne Boleyn is a man or a woman.	$n$ MAN(a) $\vee$ WOMAN(a)	Anne Boleyn $\in (\llbracket MAN \rrbracket \cup \llbracket WOMAN \rrbracket)$	) T
c. Henry VIII is a man who snores.	$MAN(h) \land SNORE(h)$	Henry VIII $\in (\llbracket MAN \rrbracket \cap \llbracket SNORE \rrbracket)$ )	Т
d. All men snore.	$\forall x[MAN(x) \rightarrow SNORE(x)]$	[[MAN]]⊆[[SNORE]]	F
e. No women snore.	$\neg \exists x [WOMAN(x) \land SNORE(x)]$	)] [[WOMAN]]∩[[SNORE]] = Ø	Т

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Section 2: Valency in Syntax and Semantics



#### Formal Definition: Extensions

Remember that within **denotational semantics** meaning is construed as the mapping between a given word and the real-world object it refers to (reference theory of meaning). More generally, words, phrases or sentences are said to have **extensions**, i.e. real-world objects/actions/states they refer to.

Zimmermann & Sternefeld (2013), p. 71.

Type of expression Type of extension Example Extension of example Paul McCartney proper name individual Paul the biggest German city definite description individual Berlin the set of tables set of individuals table noun intransitive verb set of individuals the set of sleepers sleep transitive verb set of pairs of individuals the set of pairs (*eater*, *eaten*) eat ditransitive verbs set of triples of individuals aive the set of triples (*donator*, *recipient*, *donation*) Section 1: Recap of Lecture 22

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#### Formal Definition: Extensions

"Let us denote the **extension** of an expression A by putting double brackets '[]]' around A, as is standard in semantics. The extension of an expression depends on the situation s talked about when uttering A; so we add the index s to the closing bracket."

Zimmermann & Sternefeld (2013), p. 85.

```
[Paul]_s = [p]_s = Paul McCartney^5
[table]_s = [TABLE]_s = \{table_1, table_2, table_3, \dots, table_n\}^6
[sleep]_s = [SLEEP]_s = \{sleeper_1, sleeper_2, sleeper_3, \dots, sleeper_n\}
[eat]_s = [EAT]_s =
\{\langle eater_1, eaten_1 \rangle, \langle eater_2, eaten_2 \rangle, \dots, \langle eater_n, eaten_n \rangle \}
```

<sup>5</sup>Zimmermann & Sternefeld just put the full proper name in brackets here, Kroeger follows another convention and just put the first letter in lower case, e.g.  $[p]_s$ .

<sup>6</sup>Kroeger (2019) uses upper case notation for both nouns and predicates, e.g. TABLE and SLEEP respectively.

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#### Valence according to Tesnière

"Nous avons vu qu'il y avait des verbes sans actant, des verbes à un actant, des verbes à deux actants et des verbes à trois actants."

Tesnière (1959). Éléments de syntaxe structurale, p. 238.

Verb	V	V	V	V	Syntactic into Semantic Trees
Arguments		 A	A A	A A A	Summary References
Sentence Type:	impersonal sentence	intransitive sentence	transitive sentence	ditransitive sentence	
Valency:	avalent (0)	monovalent (1), one-place predicate	bivalent (2), two-place predicate	trivalent (3), three-place predicate	

Note: Müller states that the pronouns in expletives (e.g. *it rains*) should be considered obligatory arguments of the verb, while Tesnière explicitely calls them "sans actant".

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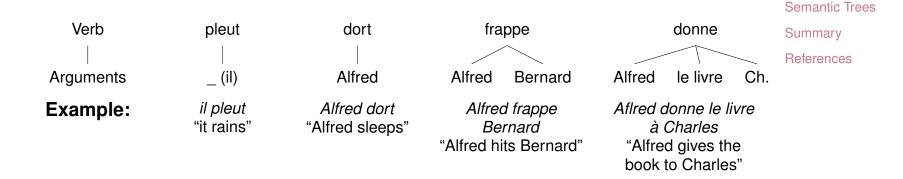
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#### Valence according to Tesnière

"Nous avons vu qu'il y avait des verbes sans actant, des verbes à un actant, des verbes à deux actants et des verbes à trois actants."

Tesnière (1959). Éléments de syntaxe structurale, p. 238.



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#### Valency in Semantics

"[...] one may detect an increasing complexity concerning the so-called **valency of verbs** [...] Corresponding to these types of predicates there are **three-place tuples (triples)**, **two-place tuples (pairs)** and **one-place tuples (individuals)**."

Parallelism between valency and type of extension: The extension of an *n*-place verb is always a set of *n*-tuples. Zimmermann & Sternefeld (2013), p. 72.

Verb	Valency	Extension
sleep	monovalent	$[SLEEP]_s = \{sleeper_1, sleeper_2, \dots, sleeper_m\}$
see	bivalent	$\llbracket SEE \rrbracket_s = \{ \langle seer_1, seen_1 \rangle, \dots, \langle seer_m, seen_m \rangle \}$
give	trivalent	$[GIVE]_s =$
		$\{\langle giver_1, receiver_1, given_1 \rangle, \dots, \langle giver_m, receiver_m, given_m \rangle\}$

Note: We use *m* instead of *n* here as an index, in order to not confuse it with the *n* representing the valency.

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### Filling of Arguments/Gaps

As the arguments of an *n*-place verb are "filled in", the extensions change according to how many *components*<sup>7</sup> are in the tuples.<sup>8</sup> Zimmermann & Sternefeld (2013). Introduction to semantics, p. 72.

Verb or VP	Valency	Extension	Compo
shows	3	set of all triples $\langle a, b, c \rangle$	Section Transla
	3	where a shows b c	Syntact
about the president	0	set of all pairs $\langle a, c \rangle$	Seman
_ shows the president _	2	where a shows the president c	Summa
_ shows the president the Vatican Palace	1	1 set of all individuals (1-tuples) $\langle a \rangle$ where a shows the president the Vatican Palace	
The Pope shows the president the Vatican Palace	0	set of all 0-tuples () where the Pope shows the president the Vatican Palace	

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<sup>7</sup>Zimmermann & Sternefeld (2013), p. 67 point out that we speak of *components* of tuples (ordered lists), but *elements* of sets.

<sup>8</sup>Note: the individuals (constant symbols) are here given as a, b, and c. In the Kroeger (2019) notation, we would use  $p_1$ ,  $p_2$ , v (the first letter of the respective name).



#### Interlude: 0-Valence and Truth Values

If we have a complete sentence with all arguments filled, then the verb strictly speaking has **zero valence**, and the extension of the sentence is the **set of zero-tuples**. This might seem strange at first, but note that this leads to *Frege's Generalization*, namely that the **extension of a sentence is its truth value**.

Zimmermann & Sternefeld (2013), p. 74.

S: The Pope shows the president the Vatican Palace.

 $[S]_s = \{\emptyset\} \equiv 1 \equiv T$ , with *s* being a situation in which the Pope *actually* shows the president the Vatican Palace.

 $[S]_s = \emptyset \equiv 0 \equiv F$ , with *s* being a situation in which the Pope *does not* show the president the Vatican Palace.

Note: The identification of 0 and 1 with  $\emptyset$  and  $\{\emptyset\}$  respectively is in line with the set-theoretic construction of natural numbers, the so-called *von Neumann ordinals* (see Zimmermann & Sternefeld, 2013, p. 74, footnote 8).

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### **Section 3: Formal Composition**



#### **Compositionality in Semantics**

(11) Kim sieh-t ein-en groß-en Baum kim see-PRS.3SG DET.INDF-ACC.SG big-ACC.SG tree.ACC.SG "Kim sees a big tree."  $\exists x(TREE(x) \land BIG(x) \land SEE(k,x))$ 

In the example above, the meaning of the overall sentence arguably derives as a *combination* of the meanings of the individiual parts. Section 1: Recap of Lecture 22

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#### **Formal Composition**

"Compositional semantic theories assume that syntax and semantics work in parallel. For each *phrase structure rule* that combines two expressions into a larger phrase, there is a corresponding *semantic rule* which combines the meanings of the parts into the meaning of the newly formed expression."

Kearns (2011). Semantics, p. 57.

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### Type Theory

"Linguistic expressions are classified into their semantic **types** according to the kind of denotation they have. The two most basic denotation types are type e, the type of entities, and type t, the type of truth values."

Kearns (2011). Semantics, p. 57.

Type of expression	<b>Type of extension</b>	Semantic type	<b>Example</b>	Summary
proper name	individual (entity)	e	[[Paul]] <sub>s</sub> =Paul McCartney	Reference
sentence	truth value	t	$\llbracket Paul is happy  rbracket_{s} \in \{0, 1\}$	

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es





"[...] a function binds arguments together into a statement. From this insight, Frege proposed that all semantic composition is **functional application**. Functional application is just the combination of a function with an argument."

Kearns (2011), p. 58.

#### **Formal Definition**

"We can define the following **combinatorial rule** for [...] typed expressions:

If  $\alpha$  is of type  $\langle b, a \rangle$  and  $\beta$  of type *b*, then  $\alpha(\beta)$  is of type *a*.

This type of combination is called **functional application**." Müller (2019), p. 188. Section 1: Recap of Lecture 22

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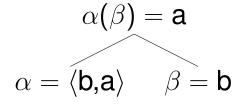
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#### **Example: Recursive Application**



**Note**: The **functional application** of the component *b* to the tuple  $\langle b, a \rangle$  is a mapping from *b* to *a* (this is how mathematical functions are defined, see also Kroeger (2019), p. 235 on relations and functions). For illustration, this might be thought of as an inference: the tuple expresses *if b then a*. b expresses *b is the case*, hence we get *a*. Importantly, it is always the *left component* in a tuple that is the argument, and the *right component* is the outcome *value*.

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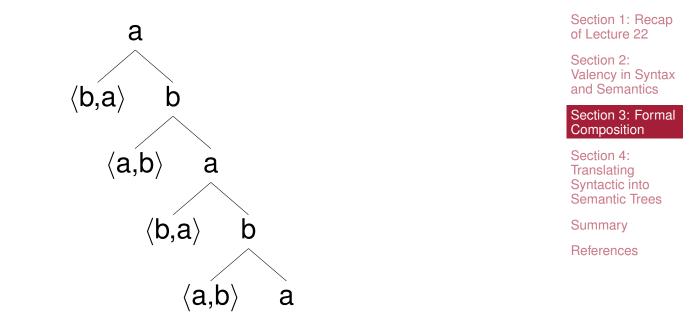
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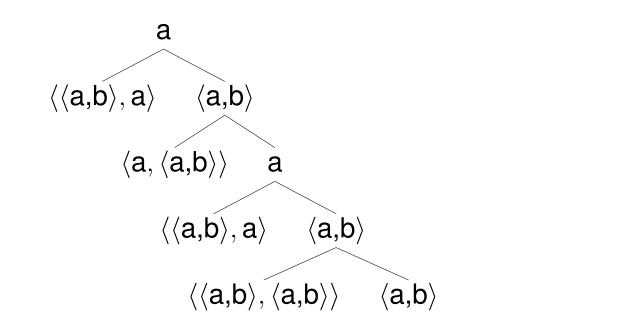
### **Example: Recursive Application**



**Note**: We can apply functional application recursively add infinitum to create a **binary tree**. Binarization is a **fixed constraint in type-theoretic semantic analysis**. Note that it *a* and *b* always switch here, since it is always the left component in the tuple that is the argument.



### **Example: Recursive Application**



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**Note**: Binarization does **not** mean that there are only a maximum of two components in each overall tuple. Instead there can be infinitely many 2-tuple embeddings. But each individual tuple can only have two components. Hence, we can built more complex semantic types out of the two basic types *e* and *t*.





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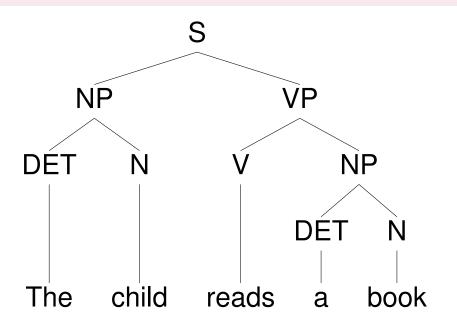
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#### Interlude: Syntax Trees

We will now translate **syntactic trees** into **type-theoretic trees** that are eventually used in semantic analyses to compose the meaning of constituents and whole sentences. Note: While often X-bar theoretic trees are used in parallel to semantic analyses, we will use simple PSG trees here for illustration (see also Kearns (2011), p. 59). Importantly, these need to be **binarized trees**.



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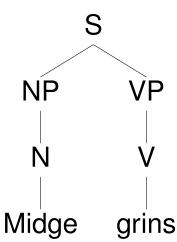
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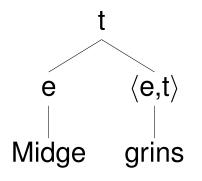
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#### Semantic Types: One-Place Predicates

An **intransitive verb** requires **one argument** to be filled in order to form a full sentence, hence it is of the **type**  $\langle e,t \rangle$ . Remember that the argument is on the left side of the tuple, hence the component of type *entity* (e) is left.





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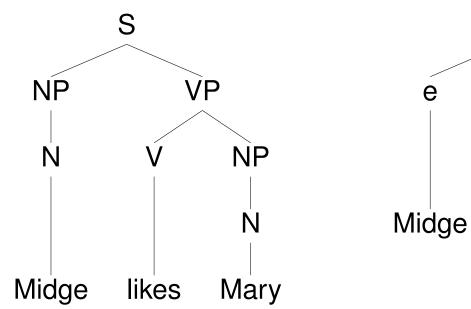
Section 4: Translating Syntactic into Semantic Trees

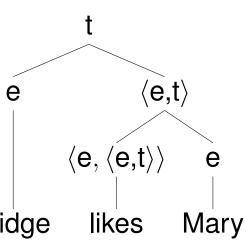
Summary



# Semantic Types: Two-Place Predicates

A transitive verb requires two arguments to be filled in order to form a full sentence, hence it is of the type  $\langle e, \langle e, t \rangle \rangle$ .





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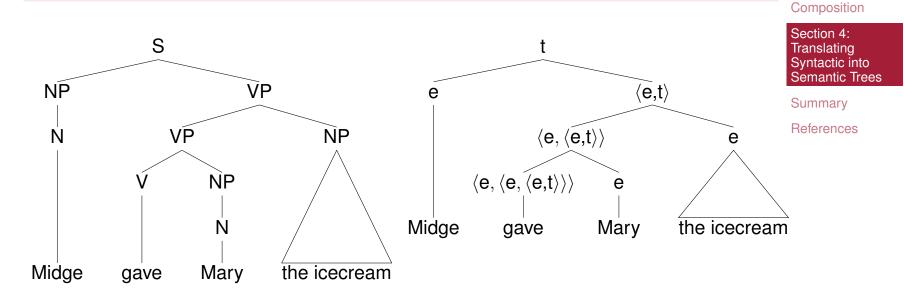
Section 4: Translating Syntactic into Semantic Trees

Summary



# Semantic Types: Three-Place Predicates

A ditransitive verb requires three arguments to be filled in order to form a full sentence, hence it is of the type  $\langle \mathbf{e}, \langle \mathbf{e}, \mathbf{e}, \mathbf{t} \rangle \rangle$ .



Note: It is shown below how *the icecream* is composed semantically.

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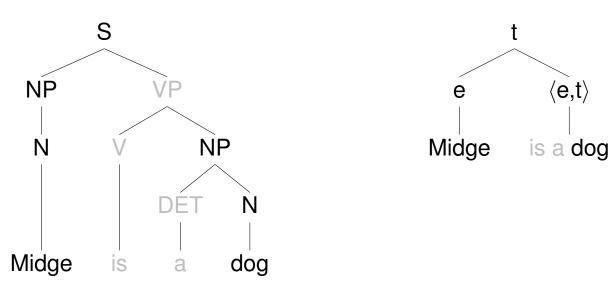
of Lecture 22

Section 2: Valency in Syntax and Semantics



#### Semantic Types: Nouns

Common nouns are of type **type**  $\langle e,t \rangle$ . This might seem counterintuitive at first sight, but the idea here is that nouns are essentially like **one-place predicates**, in the sense that they require a concrete entity (e) to form a basic existential statement (with a copular) which can be true or false.



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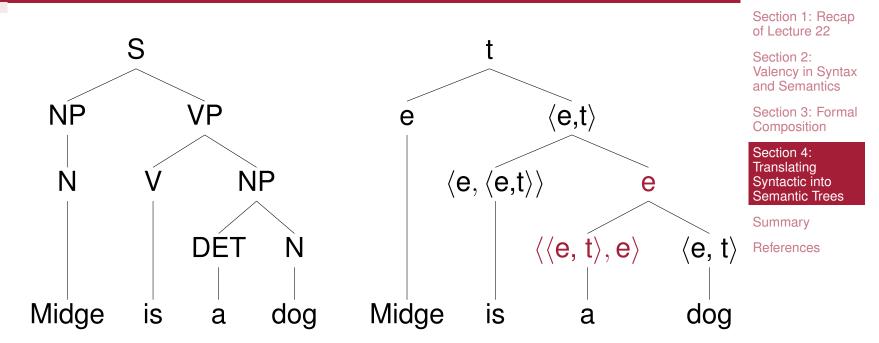
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References

Note: This corresponds to the predicate logic formulation DOG(m), where the copula and the indefinite determiner are dropped. As pointed out earlier, the copula is a controversial case, and the syntactic tree given here assumes that the copula is heading a VP, which is not uncontroversial.



#### Alternative Analysis?

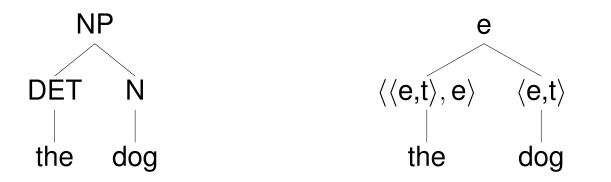


Note: This might seem like a valid alternative, but notice that the type of *a dog* has to be e now, meaning that it is an individual, rather than a set of individuals. So this would break with the fundamental definition that indeterminate expressions have sets as their extensions. Also, the *indefinite determiner* would then be of the same type as the *definite determiner* (see next slide). See also Kearns (2011), p. 149 for a discussion of *specific* and *non-specific* readings of indefinite descriptions.



# Semantic Types: NPs and Determiners

Definite **NPs** are of **type e**, i.e. referring to a concrete entity. Note that it follows from this definition and the definition of common nouns above that **determiners** then have to be of **type**  $\langle \langle \mathbf{e}, \mathbf{t} \rangle, \mathbf{e} \rangle$ .



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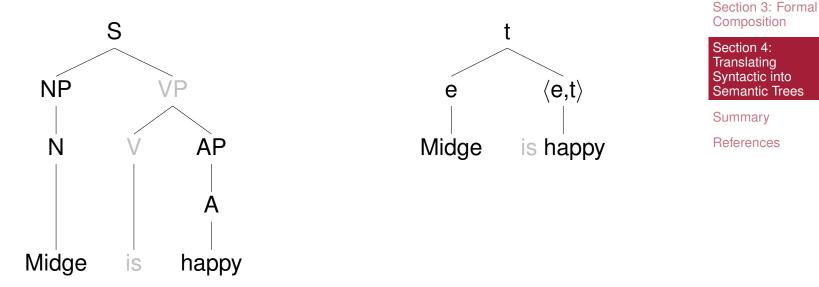
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# Semantic Types: Adjectives

Similar to common nouns, **adjectives** are considered to be of **type**  $\langle e,t \rangle$ . The same argument applies: they require a concrete entity (e) to form a basic existential statement (with a copular) which can be true or false.



Note: This is a tricky case on the syntactic side. Remember that we said in the case of copular clauses, the copula is not the head of the phrase, but rather the noun or adjective. On the other hand, we have to assume that this is a complete sentence, since it corresponds to type t on the semantic side. Also, we are not dealing with NPs here where the adjective modifies a noun as in *happy dog*. This can only be dealt with when we extend the analyses to Lambda calculus.

Section 1: Recap

of Lecture 22

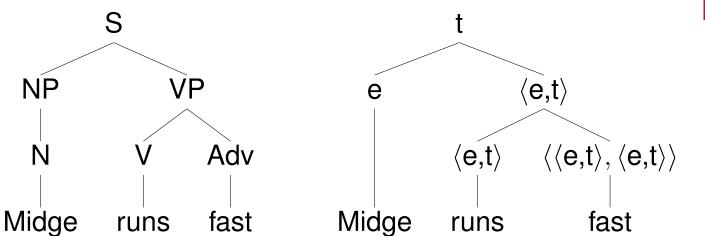
and Semantics

Section 2: Valency in Syntax



#### Semantic Types: Adverbs

**Adverbs** are considered type  $\langle \langle e,t \rangle, \langle e,t \rangle \rangle$ . Note that similar as for determiners, this is a logical consequence of the definition of other types, i.e. the definition of a one-place predicate modified by an adverb.



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Section 4: Translating Syntactic into Semantic Trees

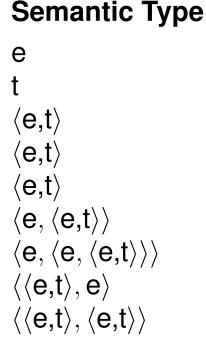
Summary



### **Summary: Semantic Types**

#### Type of Expression

Proper names Sentences Nouns Adjectives One-Place Predicates Two-Place Predicates Three-Place Predicates Determiners Adverbs



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#### Summary

- Valency is a basic concept which links (some) formal syntactic and semantic accounts. The obligatory arguments of a verb in syntax are arguments of the respective predicate in semantics.
- Type theory is a formal semantic account enabling compositionality from the most basic entities (type e) to sentences (type t) in a recursive manner.
- Syntactic trees (here PSG trees) can then be mapped onto type-theoretic trees.

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#### References

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Kroeger, Paul R. (2019). *Analyzing meaning. An introduction to semantics and pragmatics.* Second corrected and slightly revised version. Berlin: Language Science Press.

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Summary



# Thank You.

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