



# Semantics & Pragmatics SoSe 2022

## Lecture 5: Formal Semantics II (Predicate Logic)



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## Historical Perspective

“In the Hellenistic period, and apparently independent of Aristotle’s achievements, the logician Diodorus Cronus and his pupil Philo (see the entry Dialectical school) worked out the beginnings of a logic that took **propositions, rather than terms**,<sup>1</sup> as its basic elements. They influenced the second major theorist of logic in antiquity, the **Stoic Chrysippus (mid-3rd c.)**, whose main achievement is the **development of a propositional logic [...]**”

<https://plato.stanford.edu/archives/spr2016/entries/logic-ancient/>  
(accessed 10/02/2021)

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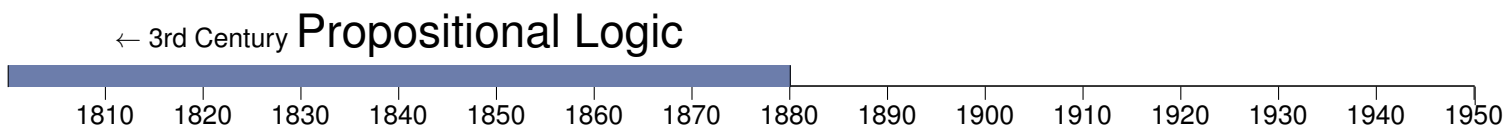
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<sup>1</sup>A *term* here represents an object, a property, or an action like “Socrates” or “fall”, which cannot by itself be true or false. A *proposition* is then a combination of terms which can be assigned a truth value, e.g. “Socrates falls”.



# Propositional Variables

“[...] as logical variables there are symbols which stand for statements (that is ‘propositions’). These symbols are called **propositional letters**, or **propositional variables**. In general we shall designate them by the letters  $p$ ,  $q$ , and  $r$ , where necessary with subscripts as in  $p_1$ ,  $q_2$ ,  $r_3$ , etc.”

Gamut, L.T.F (1991). Volume 1, p. 29.

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# Propositional Operators

We will here use the following operators (aka connectives):

Operator	Alternative Symbols	Name	English Translation
$\neg$	$\sim, !$	negation	<i>not</i>
$\wedge$	$., \&$	conjunction	<i>and</i>
$\vee$	$+,   $	disjunction (inclusive <i>or</i> )	<i>or</i>
XOR	EOR, EXOR, $\oplus, \underline{\vee}$	exclusive <i>or</i>	<i>either ... or</i>
$\rightarrow$	$\Rightarrow, \supset$	material implication <sup>2</sup>	<i>if ..., then</i>
$\leftrightarrow$	$\Leftrightarrow, \equiv$	material equivalence <sup>3</sup>	<i>if, and only if ..., then</i>

**Note:** We will here assume that the English translations and the operators themselves are indeed equivalent in their meanings. However, in language usage, this might not actually be the case.

<sup>2</sup>aka *conditional*.

<sup>3</sup>aka *biconditional*.

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# Propositional Formulas

“The propositional letters and the **composite expressions** which are formed from them by means of connectives are grouped together as *sentences* or **formulas**. We designate these by means of the letters  $\phi$  and  $\psi$ , etc. For these **metavariables**, unlike the variables  $p$ ,  $q$ , and  $r$ , there is no convention that different letters must designate different formulas.”

Gamut, L.T.F (1991). Volume 1, p. 29.

## Examples:

$\phi \equiv p, q, r, \text{ etc.}$

$\phi \equiv \neg p, \neg q, \neg r, \text{ etc.}$

$\phi \equiv p \wedge q, p \vee q, \text{ etc.}$

$\phi \equiv \neg(\neg p_1 \vee q_5) \rightarrow q, \text{ etc.}$

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## The Vocabulary

We can now define a **language  $L$  for propositional logic**. The “vocabulary”  $A$  of  $L$  consists of the propositional letters (e.g.  $p, q, r$ , etc.), the operators (e.g.  $\neg, \wedge, \vee, \rightarrow$ , etc.), as well as the round brackets ‘(’ and ‘)’. The latter are important to group certain letters and operators together. We thus have:

$$A = \{p, q, r, \dots, \neg, \wedge, \vee, \rightarrow, \dots, (, )\} \quad (1)$$

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## The Syntax: Recursive Definition

Reminiscent of formal grammars of natural languages (see last years lecture on Phrase Structure Grammar), we now also need to define **syntactic rules** which allow for the symbols of the vocabulary to be combined yielding **well-formed expressions**. These rules are:

- (i) Propositional letters in the vocabulary of  $L$  are formulas in  $L$ .
- (ii) If  $\psi$  is a formula in  $L$ , then  $\neg\psi$  is too.
- (iii) If  $\phi$  and  $\psi$  are formulas in  $L$ , then  $(\phi \wedge \psi)$ ,  $(\phi \vee \psi)$ ,  $(\phi \rightarrow \psi)$ , and  $(\phi \leftrightarrow \psi)$  are too.<sup>4</sup>
- (iv) Only that which can be generated by the clauses (i)-(iii) in a finite number of steps is a formula in  $L$ .

Gamut, L.T.F (1991). Volume 1, p. 35.

<sup>4</sup>We could also add the *exclusive or* here as a connective.

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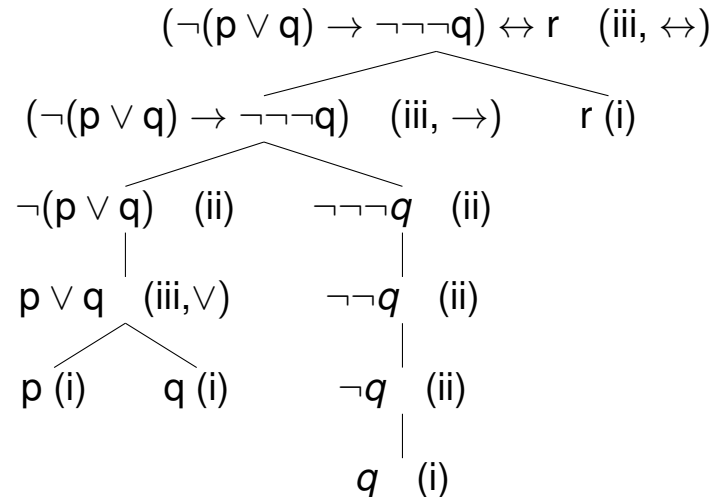
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## Example



**Note:** The level of embedding is 3 here. The *biconditional* ( $\leftrightarrow$ ) constitutes the highest level of embedding, the *conditional* ( $\rightarrow$ ) the middle level, the *or-statement* ( $\vee$ ) the lowest level. Importantly, on the right of each formula in the tree, we note in parentheses which clause licenses the formula. In the case of operator application, we also give the operator for completeness, e.g. (iii,  $\leftrightarrow$ ).

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## The Semantics of Propositional Logic

“The valuations we have spoken of [i.e. truth valuations of formulas] can now, in the terms just introduced [i.e. functions], be described as (unary)<sup>5</sup> **functions mapping formulas onto truth values**. But not every function with formulas as its domain and truth values as its range will do. A valuation must agree with the **interpretations of the connectives** which are given in their truth tables.”

Gamut, L.T.F (1991). Volume 1, p. 35.

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<sup>5</sup>An *unary* function is a function with a single argument, e.g.  $f(x)$ . A *binary* functions could be  $f(x,y)$ , a *ternary* function  $f(x,y,z)$ , etc.



## Valuation Function: Negation

Given the truth table for *negation* on the left, we get to the definition of the valuation function  $V$  on the right.<sup>6</sup>

$\phi$	$\neg\phi$
1	0
0	1

For every valuation  $V$  and for all formulas  $\phi$ :

$$(i) \quad V(\neg\phi) = 1 \text{ iff } V(\phi) = 0,$$

which is equivalent to

$$(i') \quad V(\neg\phi) = 0 \text{ iff } V(\phi) = 1.$$

Gamut (1991). Volume I, p. 44.

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<sup>6</sup>Not to be confused with the Vocabulary  $V$  defined before.



## Valuation Exercise

Assume the formula for which we created a construction tree above:

$$\phi \equiv (\neg(p \vee q) \rightarrow \neg\neg\neg q) \leftrightarrow r. \quad (2)$$

What is the value assigned by  $V(\phi)$  given  $V(p) = 1$ ,  $V(q) = 0$ , and  $V(r) = 1$ ?

### Solution

To answer this question, the construction tree comes in handy, namely, we might want to start with valuation at the lowest level of embedding and then work our way up:

- ▶  $V(p \vee q) = 1$
- ▶  $V(\neg(p \vee q)) = 0$
- ▶  $V(\neg\neg\neg q) = 1$
- ▶  $V(\neg(p \vee q) \rightarrow \neg\neg\neg q) = 1$
- ▶  $V((\neg(p \vee q) \rightarrow \neg\neg\neg q) \leftrightarrow r) = 1$

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## Semantic Validity

For formulas  $\phi_1, \dots, \phi_n, \psi$  in propositional logic  
 $\phi_1, \dots, \phi_n \models \psi$ <sup>7</sup> holds just in case for all valuations  $V$  such  
 that  $V(\phi_1) = \dots = V(\phi_n) = 1, V(\psi) = 1$ .<sup>8</sup>

Gamut (1991). Volume I, p. 117.

*What if there are no cases for which*  
 $V(\phi_1) = \dots = V(\phi_n) = 1$ ?

In this case there are no  
 counterexamples, and the inference  
 has to be taken as valid (according  
 to Gamut 1991, Vol. 1, p. 254).

p	$\neg p$	/ q
1	0	1
1	0	0
0	1	1
0	1	0

<sup>7</sup>The symbol  $\models$  in propositional and predicate logic means “models” or “semantically entails”.

<sup>8</sup>The reference to a model world **M** is skipped here, since we haven’t defined it yet.

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## Example: Checking Semantic Validity

- (1) Premise 1: *We (should) ride bikes or use solar panels.*  
 Premise 2: *We do not ride bikes.*

Conclusion: *Therefore, we do not (need to) use solar panels.*

p	q	$p \vee q$	$\neg p$	/	$\neg q$
1	1	1	0		0
1	0	1	0		1
0	1	1	1	*	0
0	0	0	1		1

Note: The slash ‘/’ is used in the table to delimit the premisses from the conclusion. The asterisk ‘\*’ is used to indicate the rows we need to look at to understand the validity of the argument schema (i.e. when the premisses are true). For clarity, we might also delimit the formulas directly relevant for the checking of validity from other formulars by using double lines (||).

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## Beyond Propositional Logic

“The propositional logic outlined in this section is an important part of the logical metalanguage for semantic analysis, but it is not sufficient on its own because it is concerned only with **truth values** [of whole sentences]. We need a way to go beyond  $p$  and  $q$ , to represent the actual meanings of **the basic propositions** we are dealing with.”

Kroeger (2019). *Analyzing meaning*, p. 66.

Example Sentences (Set 1):

$p$ : John is hungry.

$q$ : John is smart.

$r$ : John is my brother.

Example Sentences (Set 2):

$p$ : John snores.

$q$ : Mary sees John.

$r$ : Mary gives George a cake.

Note: Propositional logic assigns variables ( $p$ ,  $q$ ,  $r$ ) to whole declarative sentences, and hence is “blind” to the fact that the first set of sentences shares both the same subject, and the copula construction, whereas the second set of sentences uses predicates of different valencies and different subjects and objects.

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## Beyond Propositional Logic

A second major limitation of propositional logic is that it cannot take into account **quantifications**, and hence cannot decide on the truth values of the classical syllogisms below.

- (2) Premise 1: **All** men are mortal.  
Premise 2: Socrates is a man.

---

Conclusion: Therefore, Socrates is mortal.

- (3) Premise 1: Arthur is a lawyer.  
Premise 2: Arthur is honest.

---

Conclusion: Therefore, **some (= at least one)** lawyer is honest.

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## **Section 2: Historical Background**



## Historical Background

“The first formulation of **predicate logic** can be found in Frege (1879); a similar system was developed independently by Peirce (1885). Modern versions radically differ from these ancestors in notation but not in their expressive means.”

Zimmermann & Sternefeld (2013), p. 244.



Gottlob Frege  
(1848-1925)



Charles Sanders Peirce  
(1839-1914)

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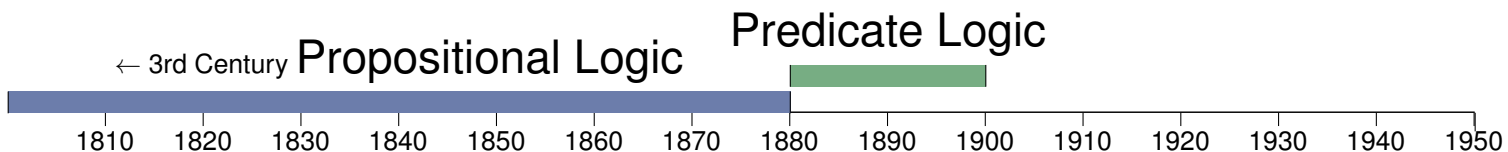
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“[...] fand ich ein Hindernis in der **Unzulänglichkeit der Sprache**, die bei aller entstehenden Schwerfälligkeit des Ausdruckes doch, je verwickelter die Beziehungen wurden, desto weniger die Genauigkeit erreichen liess, welche mein Zweck verlangte. Aus diesem Bedürfnisse ging der Gedanke der vorliegenden **Begriffsschrift** hervor.”

Frege (1879). Begriffsschrift: Eine der arithmetischen nachgebildete Formelsprache des reinen Denkens, p. X.

Translation: [...] I found the **inadequacy of language** to be an obstacle; no matter how unwieldy the expressions I was ready to accept, I was less and less able, as the relations became more and more complex, to attain the precision that my purpose required. This **deficiency** led me to the idea of the present **ideography**.



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## **Section 3: Predicate Logic (Basic Definitions)**



## The Vocabulary

Similar as for propositional logic, we can define a **language  $L$  for predicate logic**. In this case, the “vocabulary” of  $L$  consists of

- ▶ a (potentially infinite) supply of **constant symbols** (e.g.  $a, b, c$ , etc.),
- ▶ a (potentially infinite) supply of **variable symbols** representing the constants (e.g.  $x, y, z$ , etc.),
- ▶ a (potentially infinite) supply of **predicate symbols** (e.g.  $A, B, C$ , etc.),
- ▶ the **connectives** (e.g.  $\neg, \wedge, \vee, \rightarrow$ , etc.),
- ▶ the **quantifiers**  $\forall$  and  $\exists$ ,
- ▶ as well as the round brackets ‘(’ and ‘)’,
- ▶ (The equal sign ‘=’).

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# Quantifiers

“Standard predicate logic makes use of two quantifier symbols: the **Universal Quantifier**  $\forall$ , and the **Existential Quantifier**  $\exists$ . As the mathematical examples [below] illustrate, these quantifier symbols **must introduce a variable**, and this variable is said to be bound by the quantifier.”

Kroeger (2019) Analyzing meaning, p. 69.

Examples:

*For all  $x$  it is the case that  $x$  plus  $x$  equals  $x$  times two.*

*There is some  $y$  for which  $y$  plus four equals  $y$  divided by three.*

Quantifier notation:

$$\forall x(x+x = 2x)$$

$$\exists y(y+4 = y/3)$$

Note: The **parentheses** are used here to delimit the expression that the quantifier scopes over. This follows the notation by Gamut (1991), while Kroeger (2019) would use *square brackets* here.

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## Predicates and Functions

The following types of symbols (sometimes referred to as “non-logical”) are relevant for our analyses:

- ▶ **Predicate symbols:** these are typically given as upper case letters, and reflect relations between  $n$  elements, where  $n > 0$ , and  $n \in \mathbb{N}$  (i.e. natural numbers).<sup>9</sup>
- ▶ **Function symbols:** these are typically given with lower case letters ( $f, g$ , etc.), and take  $n$  variables (with  $n \geq 0$ ) as their arguments (similar to predicates), e.g.  $f(x)$ ,  $f(x, y)$ , etc. However, Gamut (1991) use upper case letters here, remember the valuation function  $V(\phi)$  from the lecture on propositional logic.

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<sup>9</sup>Zimmermann & Sternefeld (2013), p. 245 denote the set of all  $n$ -place predicates of a so-called *predicate logic lexicon* or *language*  $L$  as  $PRED_{n,L}$ .



## Clarification: the number $n$

“In general,  $n$ -ary predicates may be introduced for any whole number  $n$  larger than zero.”

Gamut (1991), Volume 1, p. 67.

“More precisely, a lexicon of predicate logic is a function assigning to any natural number  $n \geq 0$  a set  $\text{PRED}_{n,L}$  of (primitive) symbols [...] For  $n = 0$ ,  $\text{PRED}_n$  contains the individual constants of  $L$ ; and if  $n \geq 1$ , the members of  $\text{PRED}_n$  are called  $n$ -place predicates (of  $L$ ).”

Zimmermann and Sternefeld (2013), p. 245.

**Predicates:**  $n > 0$

**Functions:**  $n \geq 0$

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## Predicates (Notational Confusion)

**Predicate symbols:** these are typically given as upper case letters, and reflect relations between  $n$  elements, where  $n > 0$ , and  $n \in \mathbb{N}$  (i.e. natural numbers). These are also called **n-ary** or **n-place predicate symbols**.

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Examples:	Kroeger:	Z & S:	Gamut:
<i>Socrates snores</i>	SNORE(s)	S(s)	<b>S<sub>1</sub>s</b>
<i>Peter is honest</i>	HONEST(p)	H(p)	<b>Hp</b>
<i>Mary sees Peter</i>	SEE(m,p)	S(m,p)	<b>S<sub>2</sub>mp<sub>1</sub></b>
<i>Mary gives Paul Lucy</i>	GIVE(m,p,l)	G(m,p,l)	<b>Gmp<sub>2</sub>l</b>

**Note:** In this lecture series, we will work with the Gamut (1991) notation, as most of the concepts and definitions here are developed according to the chapters in their introduction.



# Predicates

When there is no **concrete example sentence in English** (or any other language) that a predicate logic formulation refers to, then the notation might use some upper case letter which represents **some particular predicate** which is not further defined. Gamut do not use indices in this case.

Z & S:

P(x)

Q(x)

R(x,y)

S(x,y,z)

**Gamut:**

**Ax**

**Bx**

**Axy**

**Bxyz**

**Note:** Importantly, **this is different from a predicate variable**, typically denoted by upper case X, which will become relevant in second-order logic. A variable can stand in for *any* predicate logic constant defined in the language L.

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## Non-Logical Symbols: Functions

**Function symbols** are different from predicates since they do not denote a relation between the variables, but they **map the variables to unique values**. Importantly, a function with  $n = 0$ , i.e. zero valence, is called a **constant symbol** and denotes for example an individual or object.

Examples:

*Socrates*

*Paris*

*the crocodile*

*father of  $x$*

Function notation:

$s$

$p$

$c$

$f(x)$

Note:  $s$ ,  $j$ ,  $p$ , and  $c$  are *constant symbols* here, i.e. strictly speaking zero valence functions, while  $f(x)$  is a monovalent function. It is important to realize that while lower case letters are used for both *constant symbols* and *variables* (i.e.  $x$ ), they represent different elements of predicate logic. The convention here is to use the *first letter of the respective name in lower case* as a constant symbol, while variables start at  $x$ .

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## Section 4: Translation to Predicate Logic



## Beyond Propositions

Consider the following **logical inference** below. If we formulate a propositional logic language to translate these sentences, we simply represent them with propositional letters. Which, in fact, yields an invalid inference.

- (4) Premise 1: Casper is bigger than John.  
Premise 2: John is bigger than Peter.

---

Conclusion: *Therefore, Casper is bigger than Peter.*

- (5) Premise 1: p  
Premise 2: q

---

Conclusion: r

Gamut, L.T.F (1991). Volume 1, p. 66-67.

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## The Predicate Logic Alternative

If, on the other hand, we translate these sentences into predicates reflecting the original relations between Casper, Peter, and John, then we get a better reflection of the natural language sentence structure. This can then be used to create a logically valid inference.

- (6) Premise 1: Casper is bigger than John.  
Premise 2: John is bigger than Peter.

---

Conclusion: *Therefore, Casper is bigger than Peter.*

- (7) Premise 1: Bcj  
Premise 2: Bjp

---

Conclusion: Bcp

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## Translation Key

In order to translate a set of natural language sentences into predicate logic expressions unambiguously, we need a **translation key** listing the **predicates** and **constant symbols**.

Gamut, L.T.F (1991). Volume 1, p. 68.

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### English sentences:

- (1) John is bigger than Peter or John is bigger than Socrates.
- (2) Alcibiades does not admire himself.
- (3) If Socrates is a man, then he is mortal.
- (4) Ammerbuch lies between Tübingen and Herrenberg.
- (5) Socrates is a mortal man.

### Translation key:

$a_1$ : Alcibiades  
 $a_2$ : Ammerbuch  
 $j$ : John  
 $p$ : Peter  
 $s$ : Socrates  
 $t$ : Tübingen  
 $h$ : Herrenberg  
 $Axy$ :  $x$  admires  $y$   
 $B_1xy$ :  $x$  is bigger than  $y$   
 $B_2xyz$ :  $x$  lies between  $y$  and  $z$   
 $M_1x$ :  $x$  is a man  
 $M_2x$ :  $x$  is mortal



# Translation Examples

We can then translate the natural language sentences into predicate logic by further identifying the logical operators, i.e. connectives and negation.

Gamut, L.T.F (1991). Volume 1, p. 68.

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## English sentences:

- (1) John is bigger than Peter **or** John is bigger than S.
- (2) Alcibiades does **not** admire himself.
- (3) **If** Socrates is a man, **then** he is mortal.
- (4) Ammerbuch lies between Tübingen and Herrenberg.
- (5) Socrates is a mortal man.

## Translations:

- (1)  $B_{1jp} \vee B_{1js}$
- (2)  $\neg Aa_1a_1$
- (3)  $M_1s \rightarrow M_2s$
- (4)  $B_2a_2th$
- (5)  $M_1s \wedge M_2s$





## Translation with Quantifiers

If  $\phi$  is an expression of predicate logic, then  $\forall x\phi$  is called the **universal generalization** of  $\phi$ . Likewise,  $\exists x\phi$  is called the **existential generalization**.

Gamut, L.T.F (1991). Volume 1, p. 71.

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### English sentences:

- (1) Everyone is friendly.
- (2) Someone is friendly.
- (3) No one is friendly.
- (4) Everyone is unfriendly.

### Translations:

- (1)  $\forall xFx$
- (2)  $\exists xFx$
- (3)  $\neg\exists xFx$
- (4)  $\forall x\neg Fx$

**Notes:** We have to add  $Fx: x \text{ is friendly}$  to the key. Someone/somebody and no one/nobody are seen as equivalent. Further, note that while we would clearly consider (3) and (4) two different English sentences, the predicate logic translations are perfectly equivalent, i.e.  $\neg\exists xFx \equiv \forall x\neg Fx$ .



## Discussion Point

Would you consider these pairs of English sentences as equivalent in their meaning?

- ▶ No one is reliable/Everyone is unreliable.
- ▶ No one is friendly/Everyone is unfriendly.
- ▶ No one is tall/Everyone is small.

Why? Why not?

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## English sentences:

- (1) Socrates admires someone.
- (2) Socrates is admired by someone.
- (3) All teachers are friendly.
- (4) Some teachers are friendly.
- (5) Some friendly people are teachers.
- (6) All teachers are unfriendly.
- (7) Some teachers are unfriendly.

## Translations:

- (1)  $\exists y A s y$
- (2)  $\exists x A x s$
- (3)  $\forall x (T x \rightarrow F x)$
- (4)  $\exists x (T x \wedge F x)$
- (5)  $\exists x (F x \wedge T x)$
- (6)  $\forall x (T x \rightarrow \neg F x)$
- (7)  $\exists x (T x \wedge \neg F x)$

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## Notes:

We have to add  $Tx$ : *x is a teacher* to the key.

Due to the so-called commutativity of  $\wedge$ , i.e.  $\phi \wedge \psi \equiv \psi \wedge \phi$ , we have that the predicate logic expressions in (4) and (5) are seen as equivalent too. However, the order might be seen as relevant in the natural language examples (for instance in terms of emphasis).

Generally, we have that  $\forall x \neg \phi \equiv \neg \exists x \phi$ .



---

## **Section 5: The Syntax of Predicate Logic**



# The Syntax: Recursive Definition

Given the vocabulary of  $L$  we define the following clauses to create formulas of  $L$ .

- (i) If  $A$  is an  $n$ -ary predicate letter in the vocabulary of  $L$ , and each of  $t_1, \dots, t_n$  is a constant or a variable in the vocabulary of  $L$ , then  $At_1, \dots, t_n$  is a formula in  $L$ .
- (ii) If  $\phi$  is a formula in  $L$ , then  $\neg\phi$  is too.
- (iii) If  $\phi$  and  $\psi$  are formulas in  $L$ , then  $(\phi \wedge \psi)$ ,  $(\phi \vee \psi)$ ,  $(\phi \rightarrow \psi)$ , and  $(\phi \leftrightarrow \psi)$  are too.
- (iv) If  $\phi$  is a formula in  $L$  and  $x$  is a variable, then  $\forall x\phi$  and  $\exists x\phi$  are formulas in  $L$ .
- (v) Only that which can be generated by the clauses (i)-(iv) in a finite number of steps is a formula in  $L$ .

Gamut, L.T.F (1991). Volume 1, p. 75.

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## Propositional Logic

- (i) Propositional letters in the vocabulary of  $L$  are formulas in  $L$ .
- (ii) If  $\phi$  is a formula in  $L$ , then  $\neg\phi$  is too.
- (iii) If  $\phi$  and  $\psi$  are formulas in  $L$ , then  $(\phi \wedge \psi)$ ,  $(\phi \vee \psi)$ ,  $(\phi \rightarrow \psi)$ , and  $(\phi \leftrightarrow \psi)$  are too.
- (iv) Only that which can be generated by the clauses (i)-(iii) in a finite number of steps is a formula in  $L$ .

## Predicate Logic

- (i) If  $A$  is an  $n$ -ary predicate letter in the vocabulary of  $L$ , and each of  $t_1, \dots, t_n$  is a constant or a variable in the vocabulary of  $L$ , then  $At_1, \dots, t_n$  is a formula in  $L$ .
- (ii) If  $\phi$  is a formula in  $L$ , then  $\neg\phi$  is too.
- (iii) If  $\phi$  and  $\psi$  are formulas in  $L$ , then  $(\phi \wedge \psi)$ ,  $(\phi \vee \psi)$ ,  $(\phi \rightarrow \psi)$ , and  $(\phi \leftrightarrow \psi)$  are too.
- (iv) If  $\phi$  is a formula in  $L$  and  $x$  is a variable, then  $\forall x\phi$  and  $\exists x\phi$  are formulas in  $L$ .
- (v) Only that which can be generated by the clauses (i)-(iv) in a finite number of steps is a formula in  $L$ .

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## Examples of **Valid** and **Invalid** Formulas

Formula	Rule Applied
$Aa$ ✓	(i)
$Ax$ ✓	(i)
$Aab$ ✓	(i)
$Axy$ ✓	(i)
$Axb$ ✓	(i)
$\neg Axy$ ✓	(i) and (ii)
$Aa \rightarrow Axy$ ✓	(i) and (iii)
$\forall x(Aa \rightarrow Axy)$ ✓	(i), (iii), and (iv)
$\forall xAa \rightarrow Axy$ ✓	(i), (iii), and (iv)
$a$ ✗	—
$A$ ✗	—
$\forall$ ✗	—
$\forall(Axy)$ ✗	—

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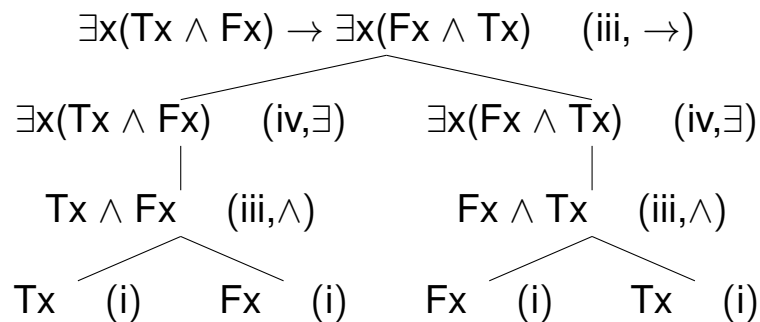
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## Building Construction Trees

Just as for propositional logic expressions, we can also **build construction trees for predicate logic expressions** (if they are correctly derived).



**Note:** The **number of branchings** (depth of embedding) is still given by the number of connectives. **Quantifiers behave like negation** here in the sense of creating unary branches. Also, note how we now have predicates as **atomic formulas**, i.e. *terminal symbols*, rather than single letters representing propositions.

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## Definition: Quantifier Scope

“If  $\forall x\psi$  is a subformula of  $\phi$ , then  $\psi$  is called the scope of this particular occurrence of the quantifier  $\forall x$  in  $\phi$ . The same applies to occurrences of the quantifier  $\exists x$ .”

Gamut, L.T.F (1991). Volume 1, p. 76.

Assume  $\phi \equiv \neg\exists x\exists y(\forall z(\exists wAzw \rightarrow Ayz) \wedge Axy)$ , we then have the following quantifiers and scopes for subformulas of  $\phi$ :

Quantifier	Scope
$\exists w$	$Azw$
$\forall z$	$\exists wAzw \rightarrow Ayz$
$\exists y$	$\forall z(\exists wAzw \rightarrow Ayz) \wedge Axy$
$\exists x$	$\exists y(\forall z(\exists wAzw \rightarrow Ayz) \wedge Axy)$

Note: The opening and closing brackets generally indicate the quantifier scope when connectives are involved, except for outer brackets, which can be dropped. If no connective is involved, then we don't need the brackets, e.g.  $\exists wAzw$  rather than  $\exists w(Azw)$ .

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## Definition: *Formula vs. Sentence*

There is a further distinction between **formulas** and **sentences** in predicate logic. Namely, sentences are a subset of formulas for which it holds that: “A sentence is a formula in  $L$  which **lacks free variables**.”<sup>10</sup>

Gamut, L.T.F (1991). Volume 1, p. 77.

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### Sentence

$Aa$

$\forall xFx$

$\forall x(Ax \rightarrow \exists yBy)$

### Not a Sentence (but Formula)

$Ax$

$Fx$

$Ax \rightarrow \exists yBy$

<sup>10</sup>Free variables, in turn, are precisely defined by Gamut (1991), p. 77 in their Definition 3. We will simply state here that a variable is free if it is not within the scope of a quantifier.



## **Section 6: The Semantics of Predicate Logic**



## Model Theory

“In order to develop and test a set of interpretive rules [...] it is important to provide very explicit descriptions for the test situations. As stated above, this kind of **description of a situation is called a model**, and must include two types of information: (i) **the domain**, i.e., the set of all individual entities in the situation; and (ii) the **denotation sets for the basic vocabulary items** [constant symbols, predicates] in the expressions being analyzed.”

Kroeger (2019). *Analyzing meaning*, p. 240.





## Domain of Discourse

In predicate logic, we use quantifications as in *everybody is friendly*. This means we have to define the **domain of discourse** ( $D$ ) as a set of entities ( $e$ ), since statements of this type might be true or false in one domain, but not in another.

$$D = \{e_1, e_2, \dots, e_i\} \text{ with } D \neq \{\}. \quad (3)$$

Gamut, L.T.F (1991). Volume 1, p. 88.

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# Interpretation Functions

“The interpretation of the constants in  $L$  will therefore be an attribution of some entity in  $D$  to each of them, that is, a function with the set of constants in  $L$  as its domain and  $D$  as its range. Such functions are called **interpretation functions**.”

$$I(c_j) = e_j. \quad (4)$$

“ $I(c)$  is called the *interpretation of a constant  $c$* , or its *reference* or its *denotation*, and if  $e$  is the entity in  $D$  such that  $I(c) = e$ , then  $c$  is said to be one of  $e$ ’s names ( $e$  may have several different names).”

Gamut, L.T.F (1991). Volume 1, p. 88.

## Example

$$I = \{ \langle m, e_1 \rangle, \langle s, e_1 \rangle, \langle v, e_1 \rangle \}$$

$$I(m) = e_1$$

$$I(s) = e_1$$

$$I(v) = e_1$$

Translation key: m: morning star; s: evening star; v: venus.

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## Definition: A model $\mathbf{M}$ for language $L$ <sup>11</sup>

“A model  $\mathbf{M}$  for a language  $L$  of predicate logic consists of a domain  $D$  (this being a nonempty set) and an interpretation function  $I$  which [...] conforms to the following requirements:

- (i) if  $c$  is a constant in  $L$ , then  $I(c) \in D$ ;
- (ii) if  $B$  is an  $n$ -ary predicate letter in  $L$ , then  $I(B) \subseteq D^n$ .”

Gamut, L.T.F (1991). Volume 1, p. 91.

### Example

$$D = \{e_1, e_2, e_3\}$$

$$I(m) = e_1$$

$$I(j) = e_2$$

$$I(p) = e_3$$

$$I(S) \subseteq D^2$$

Translation key:  $j$ : John;  $p$ : Peter;  $m$ : morning star;  $Sxy$ :  $x$  sees  $y$ .

<sup>11</sup>The approach we follow here is called *Approach A* or *the interpretation of quantifiers by substitution* in Gamut (1991), p. 89. There is also another alternative Approach B, which we do not consider here.

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## Definition: The valuation function $V_M$

“If  $\mathbf{M}$  is a model for  $L$  whose interpretation function  $I$  is a function of the constants in  $L$  onto the domain  $D$ , then  $V_M$ , the valuation  $V$  based on  $M$ , is defined as follows:”

- (i) If  $Aa_1, \dots, a_n$  is an atomic sentence in  $L$ , then  $V_M(Aa_1, \dots, a_n) = 1$  if and only if  $\langle I(a_1), \dots, I(a_n) \rangle \in I(A)$ .
- (ii)  $V_M(\neg\phi) = 1$  iff  $V_M(\phi) = 0$ .
- (iii)  $V_M(\phi \wedge \psi) = 1$  iff  $V_M(\phi) = 1$  and  $V_M(\psi) = 1$ .
- (iv)  $V_M(\phi \vee \psi) = 1$  iff  $V_M(\phi) = 1$  or  $V_M(\psi) = 1$ .
- (v)  $V_M(\phi \rightarrow \psi) = 0$  iff  $V_M(\phi) = 1$  and  $V_M(\psi) = 0$ .
- (vi)  $V_M(\phi \leftrightarrow \psi) = 1$  iff  $V_M(\phi) = V_M(\psi)$ .

Gamut, L.T.F (1991). Volume 1, p. 91.

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## Definition: The valuation function $V_M$

(vii)  $V_M(\forall x\phi) = 1$  iff  $V_M([c/x]\phi) = 1$  for all constants  $c$  in  $L$ .

(viii)  $V_M(\exists x\phi) = 1$  iff  $V_M([c/x]\phi) = 1$  for at least one constant  $c$  in  $L$ .

If  $V_M(\phi) = 1$ , then  $\phi$  is said to be true in model  $\mathbf{M}$ .

Gamut, L.T.F (1991). Volume 1, p. 91.

**Note:** The notation  $[c/x]$  means “replacing  $x$  by  $c$ ”. Note that this valuation works only for **sentences** of predicate logic as defined above. That is, it works for formulas that consist of atomic sentences and/or formulas with variables that are bound. For *formulas with free variables*, it does not work.

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## Valuation Example

Given a Model of the world  $\mathbf{M}$ , consisting of  $D$  and  $I$ , and some formula  $\phi$  which adheres to predicate logic syntax (and which consists of atomic sentences and or quantifications with bound variables), we can then evaluate the truth of  $\phi$  as follows.

### Model $\mathbf{M}$

$$D = \{e_1, e_2, e_3\}$$

$$I = \{\langle j, e_1 \rangle, \langle p, e_2 \rangle, \langle m, e_3 \rangle, \langle S, \{\langle I(j), I(m) \rangle, \langle I(p), I(m) \rangle\} \rangle\}$$

$$I(S) = \{\langle I(j), I(m) \rangle, \langle I(p), I(m) \rangle\}$$

Translation key: j: John; p: Peter; m: morning star; Sxy: x sees y.

### Valuation

“John sees the morning star”:  $V_M(Sjm) = 1$  (according to (i))

“Everybody sees the morning star”:  $V_M(\forall xSxm) = 0$  (according to (vii))<sup>12</sup>

<sup>12</sup>This valuation gives 0 since the morning star (m) is a constant c in L, but it does not see itself, i.e.  $\langle I(m), I(m) \rangle \notin I(S)$ .

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# Summary

- ▶ **Predicate logic** goes beyond propositional logic by, firstly, teasing apart predicates and their arguments, and secondly, introducing quantifiers.
- ▶ These changes lead to **adjustments in the formal definitions** of the syntax and semantics of the logical language L.
- ▶ While these adjustments enable a more precise translation of natural language sentences, there are also still plenty of **disagreements** with the predicate logic language L.

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# Thank You.

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